

ANNEXURE 1**FORM NO. MGT - 9****EXTRACT OF ANNUAL RETURN**

As on the financial year ended on 31.03.2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

| | |
|---|---|
| 1. CIN | L15200KA1998PLC023489 |
| 2. Registration Date | 12th March 1998 |
| 3. Name of the Company | HealthCare Global Enterprises Limited |
| 4. Category/Sub-category of the Company | Public Company/Limited by shares, Indian Non-Government Company |
| 5. Address of the Registered office & contact details | HCG Tower, No.8, P. Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru, Karnataka, India – 560027 Telephone: +91-80-4660 7700 Email id: sunumanuel@hcgoncology.com |
| 6. Whether listed Company | Yes |
| 7. Name, Address & contact details of the Registrar & Transfer Agent, if any. | Karvy Computershare Private Limited Karvy Selenium Tower B Plot 31-32, Gachibowli Financial District, Nanakramguda Hyderabad 500 032 Telangana Tel: +91 40 6716 2222 Fax: +91 40 2343 1551 E-mail: einward.ris@karvy.com |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE Company

(All the business activities contributing 10 % or more of the total turnover of the Company shall be stated)

| Sl. No. | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the Company (standalone basis) |
|---------|---|---------------------------------|---|
| 1 | Hospital activities | 86100 | 92.09 |
| 2 | Retail sale of pharmaceuticals, medical and orthopaedic goods and toilet articles | 47721 | 6.7 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| Sl. No. | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held* | Applicable Section |
|---------|--|-----------------------|--------------------------------|-------------------|--------------------|
| 1 | Healthcare Global Senthil Multi-Specialty Hospitals Private Limited No. 536, Perundurai Road, Erode - 638 011 Tamil Nadu | U85110TZ2005PTC011740 | Subsidiary | 100.00% | Section 2(87) |

| Sl. No. | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held* | Applicable Section |
|---------|---|-----------------------|--------------------------------|-------------------|--------------------|
| 2 | Niruja Product Development and Healthcare Research Private Limited (Formerly known as MIMS HCG Oncology Private Limited) HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027 Karnataka | U73100KA2007PTC044658 | Subsidiary | 100.00% | Section 2(87) |
| 3 | Malnad Hospital & Institute of Oncology Private Limited No. 600/601, Irwin Road, Mysore - 570 001, Karnataka | U85110KA1997PTC022149 | Subsidiary | 70.25% | Section 2(87) |
| 4 | HCG Medi-Surge Hospitals Private Limited No. 1, Maharashtra Society, Mithakhali Cross Road, Ellisbridge, Ahmedabad - 380 006, Gujarat | U85110GJ2000PTC037474 | Subsidiary | 74.00% | Section 2(87) |
| 5 | BACC Health Care Private Limited No. 7, East Park Road Basement, Kumara Park East, Bengaluru - 560 001, Karnataka | U74140KA2002PTC030098 | Subsidiary | 50.10% | Section 2(87) |
| 6 | Apex HCG Oncology Hospitals LLP Vaishali Heights, Wing "A", Chandawarkar Road, Borivali West, Mumbai 400 092, Maharashtra | AAB- 5593 | Subsidiary | 50.10% | Section 2(87) |
| 7 | Healthcare Diwan Chand Imaging LLP HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027, Karnataka | AAA-0280 | Subsidiary | 75.00% | Section 2(87) |
| 8 | HCG NCHRI Oncology LLP HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027, Karnataka | AAA-6655 | Subsidiary | 76.00% | Section 2(87) |
| 9 | HCG Oncology LLP No. 1, Maharashtra Society, Near Mithakhali Six Road, Ellisbridge, Ahmedabad - 380 006, Gujarat. | AAC-9917 | Subsidiary | 74.00% | Section 2(87) |
| 10 | Strand-Triesta Cancer Genomics LLP 591/11, 3rd Main Road, Sadashivnagar, Bengaluru - 560080, Karnataka | AAC-8877 | Associate | 30.00% | Section 2(6) |
| 11 | HCG EKO Oncology LLP HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027, Karnataka | AAD-9508 | Subsidiary | 50.50% | Section 2(87) |
| 12 | HCG Manavata Oncology LLP HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027, Karnataka | AAH-1208 | Subsidiary | 51.00% | Section 2(87) |
| 13 | HCG SUN Hospitals LLP HCG Tower, No. 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bengaluru - 560 027, Karnataka | AAK-6700 | Subsidiary | 74.00% | Section 2(87) |
| 14 | HCG (Mauritius) PVT. LTD. St. Louis Business Centre, CNR Desroches & St Louis Streets, Port Louis, Mauritius | N/A | Subsidiary | 100.00% | Section 2(87) |
| 15 | Healthcare Global (Africa) Pvt. Ltd. St Louis Business Centre, CNR Desroches & St Louis Streets, Port Louis, Mauritius | N/A | Subsidiary | 76.73% | Section 2(87) |

| Sl. No. | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held* | Applicable Section |
|---------|--|-----------------------|--------------------------------|-------------------|--------------------|
| 16 | HealthCare Global (Uganda) Private Limited Suite 13, 3rd Floor, Plot 2, Bombo Road City Apartments, PO Box 31176, Kampala, Uganda | N/A | Subsidiary | 76.73% | Section 2(87) |
| 17 | HealthCare Global (Tanzania) Private Limited Regency Medical Centre, Alykhan Road, Upanga, PO Box 2029, Daar es Salaam, Tanzania | N/A | Subsidiary | 76.73% | Section 2(87) |
| 18 | HealthCare Global (Kenya) Private Limited Jadala Place, Ngong Lane, Near Prestige Plaza, Post Office Box 6493-00200, Nairobi, Kenya | N/A | Subsidiary | 76.73% | Section 2(87) |
| 19 | Cancer Care Kenya Limited P. O. Box 39173 - 00623, Nairobi, Kenya | N/A | Subsidiary | 59.47% | Section 2(87) |
| 20 | Strand Life Sciences Private Limited 5th Floor, Kirloskar Business Park, Bellary Road, Hebbal, Bengaluru, Karnataka - 560024 | U85199KA2000PTC027913 | Associate | 38.20% | Section 2(6) |

*Representing aggregate % of the shares held by the Company and/or its subsidiaries

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) Category-wise Share Holding

| Sl. No | Category | Number of shares held at the beginning of the year | | | | Number of shares held at the end of the year | | | | % of change during the year |
|----------------------|--|--|----------|------------------------|-------------------|--|----------|------------------------|-------------------|-----------------------------|
| | | Demat | Physical | Total number of shares | % of total shares | Demat | Physical | Total number of shares | % of total shares | |
| (A) Promoters | | | | | | | | | | |
| 1 Indian | | | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 2,09,01,662 | - | 2,09,01,662 | 24.39 | 2,08,94,662 | - | 2,08,94,662 | 24.04 | -0.35 |
| (b) | Central Government | - | - | - | - | - | - | - | - | - |
| (c) | State Government(s) | - | - | - | - | - | - | - | - | - |
| (d) | Bodies Corporate | - | - | - | - | - | - | - | - | - |
| (e) | Financial Institutions/ Banks | - | - | - | - | - | - | - | - | - |
| (f) | Any Other (specify) | - | - | - | - | - | - | - | - | - |
| | Sub-Total (A)(1) | 2,09,01,662 | - | 2,09,01,662 | 24.39 | 2,08,94,662 | - | 2,08,94,662 | 24.04 | -0.35 |
| 2 Foreign | | | | | | | | | | |
| (a) | NRIs- Individuals | - | - | - | - | - | - | - | - | - |
| (b) | Other- Individuals | - | - | - | - | - | - | - | - | - |
| (c) | Bodies Corporate | - | - | - | - | - | - | - | - | - |
| (d) | Banks/FI | - | - | - | - | - | - | - | - | - |
| (e) | Any Other (specify) | - | - | - | - | - | - | - | - | - |
| | Sub-Total (A)(2) | - | - | - | - | - | - | - | - | - |
| | Total Shareholding of Promoter (A)= (A)(1)+(A)(2) | 2,09,01,662 | - | 2,09,01,662 | 24.39 | 2,08,94,662 | - | 2,08,94,662 | 24.04 | -0.35 |

| Sl. No | Category | Number of shares held at the beginning of the year | | | | Number of shares held at the end of the year | | | | % of change during the year |
|----------|--|--|------------------|------------------------|-------------------|--|------------------|------------------------|-------------------|-----------------------------|
| | | Demat | Physical | Total number of shares | % of total shares | Demat | Physical | Total number of shares | % of total shares | |
| B | Public Share holding | | | | | | | | | |
| 1 | Institutions | | | | | | | | | |
| (a) | Mutual Funds | 1,58,95,730 | - | 1,58,95,730 | 18.55 | 1,02,40,627 | - | 1,02,40,627 | 11.78 | -6.77 |
| (b) | Banks/Financial Institutions | 1,460 | - | 1,460 | 0 | 8,064 | - | 8,064 | 0.01 | 0.01 |
| (c) | Central Government | - | - | - | - | - | - | - | - | - |
| (d) | State Government(s) | - | - | - | - | - | - | - | - | - |
| (e) | Venture Capital Funds | - | - | - | - | - | - | - | - | - |
| (f) | Insurance Companies | - | - | - | - | - | - | - | - | - |
| (g) | Foreign Institutional Investors | 1,33,93,534 | - | 1,33,93,534 | 15.63 | 3,09,14,622 | - | 3,09,14,622 | 35.57 | 19.94 |
| (h) | Foreign Venture Capital Investors | - | - | - | - | - | - | - | - | - |
| (i) | Others (Specify) | - | - | - | - | - | - | - | - | - |
| | Alternate Investment Funds | - | - | - | - | 11,66,667 | - | 11,66,667 | 1.34 | 1.34 |
| | Sub-Total (B)(1) | 2,92,90,724 | - | 2,92,90,724 | 34.18 | 4,23,29,980 | - | 4,23,29,980 | 48.69 | 14.51 |
| 2 | Non-institutions | | | | | | | | | |
| (a) | Bodies Corporate | - | - | - | - | - | - | - | - | - |
| (i) | Indian | 1,24,98,912 | - | 1,24,98,912 | 14.58 | 30,00,155 | - | 30,00,155 | 3.45 | -11.13 |
| (ii) | Overseas | 83,20,805 | - | 83,20,805 | 9.71 | 83,20,805 | - | 83,20,805 | 9.57 | -0.14 |
| (b) | Individuals | | | | | | | | | |
| (i) | Individual shareholders holding nominal share capital up to ₹1 lakh. | 23,90,258 | 3,48,156 | 27,38,414 | 3.19 | 20,64,097 | 2,47,603 | 23,11,700 | 2.66 | -0.53 |
| (ii) | Individual shareholders holding nominal share capital in excess of ₹ 1 lakh. | 49,59,739 | 23,51,116 | 73,10,855 | 8.53 | 48,13,701 | 11,60,823 | 59,74,524 | 6.87 | -1.66 |
| (d) | Others (Specify) | | | | | | | | | |
| (i) | Trusts | 23,18,150 | - | 23,18,150 | 2.7 | 23,06,917 | - | 23,06,917 | 2.65 | -0.05 |
| (ii) | Non-Resident Indians | 9,07,385 | 5,94,011 | 15,01,396 | 1.75 | 3,65,107 | 4,35,795 | 8,00,902 | 0.92 | -0.83 |
| (iii) | NRI Non-Repatriation | 7,32,486 | 0 | 7,32,486 | 0.85 | 9,23,257 | 0 | 9,23,257 | 1.06 | 0.21 |
| (iv) | Clearing Members | 99,582 | - | 99,582 | 0.12 | 9,916 | - | 9,916 | 0.01 | -0.11 |
| (v) | NBFCs Registered with RBI | - | - | - | - | 31,655 | - | 31,655 | 0.04 | 0.04 |
| | Sub-Total (B)(2) | 3,22,27,317 | 32,93,283 | 3,55,20,600 | 41.44 | 2,18,35,610 | 18,44,221 | 2,36,79,831 | 27.24 | -14.2 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2) | 6,15,18,041 | 32,93,283 | 6,48,11,324 | 75.61 | 6,41,65,590 | 32,93,283 | 6,60,09,811 | 75.96 | 0.35 |
| (C) | Shares held by Custodians for GDR and ADRs | - | - | - | - | - | - | - | - | - |
| | GRAND TOTAL (A)+(B)+(C) | 8,24,19,703 | 32,93,283 | 8,57,12,986 | 100 | 8,50,60,252 | 18,44,221 | 8,69,04,473 | 100 | |

(ii) Shareholding of Promoters*

| Sl. No. | Shareholder's Name | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | % change in shareholding during the year |
|---------|--------------------------|---|----------------------------------|--|-------------------------------------|-------------------------|--|--|
| | | No. of shares | % of total shares of the Company | % of Shares Pledged / encumbered to total shares | No. of shares | % shares of the Company | % of Shares Pledged / encumbered to total shares | |
| 1 | Dr. B.S. Ajaikumar | 1,76,42,739 | 20.58 | - | 1,76,42,739 | 20.3 | - | -0.28 |
| 2 | Dr. Ganesh Nayak | 2,87,307 | 0.34 | - | 2,87,307 | 0.33 | - | -0.01 |
| 3 | Dr. B.S. Ramesh | 2,62,356 | 0.31 | - | 2,62,356 | 0.3 | - | -0.01 |
| 4 | Dr. K.S. Gopinath | 4,05,059 | 0.47 | - | 4,05,059 | 0.47 | - | -0.01 |
| 5 | Dr. M. Gopichand | 8,66,760 | 1.01 | - | 8,66,760 | 1 | - | -0.01 |
| 6 | Dr. B. Amar Kumar | 6,64,657 | 0.78 | - | 6,57,657 | 0.76 | - | -0.02 |
| 7 | Bhagya A. Ajaikumar | 1,795 | 0 | - | 1,795 | 0 | - | - |
| 8 | Aagnika Ajaikumar | 3,27,258 | 0.38 | - | 3,27,258 | 0.38 | - | - |
| 9 | Asmitha Ajaikumar | 3,27,259 | 0.38 | - | 3,27,259 | 0.38 | - | - |
| 10 | Prakash Nayak | 57,937 | 0.07 | - | 57,937 | 0.07 | - | - |
| 11 | Pradeep Nayak | 30,000 | 0.04 | - | 30,000 | 0.03 | - | -0.01 |
| 12 | Dr. Venkatesh Sudha | 22,582 | 0.03 | - | 22,582 | 0.03 | - | - |
| 13 | Adarsh Ramesh | 2,486 | 0 | - | 2,486 | 0 | - | - |
| 14 | Dr. Srinivas K. Gopinath | 2,187 | 0 | - | 2,187 | 0 | - | - |
| 15 | Leela Rajanna | 1,280 | 0 | - | 1,280 | 0 | - | - |
| | Total | 2,09,01,662 | 24.39 | - | 2,08,94,662 | 24.04 | - | -0.35 |

*Note: In the above table, the shareholding of Promoter Group to the extent of 14,30,441 shares (at the beginning and end of the year) is shown as shareholding of Promoters.

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

| Sl. No. | Date of Acquisition/ (Transfer) | Particulars | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|---------|---------------------------------|------------------------------------|---|----------------------------------|---|----------------------------------|
| | | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| 1 | Dr. B. S. Ajaikumar | At the beginning of the year | 1,76,42,739 | 20.58 | | |
| | | At the end of the year (no change) | | | 1,76,42,739 | 20.3 |
| 2 | Dr. Ganesh Nayak | At the beginning of the year | 2,87,307 | 0.34 | | |
| | | At the end of the year (no change) | | | 2,87,307 | 0.33 |
| 3 | Dr. B.S. Ramesh | At the beginning of the year | 2,62,356 | 0.31 | | |
| | | At the end of the year (no change) | | | 2,62,356 | 0.3 |
| 4 | Dr. K. S. Gopinath | At the beginning of the year | 4,05,059 | 0.47 | | |
| | | At the end of the year (no change) | | | 4,05,059 | 0.47 |
| 5 | Dr. M. Gopichand | At the beginning of the year | 8,66,760 | 1.01 | | |
| | | At the end of the year (no change) | | | 8,66,760 | 1 |

iv) Shareholding Pattern of top ten Shareholders: (Other than Directors, Promoters and Holders of GDRs and ADRs):

| SI No | Name of the shareholder | Shareholding at the beginning of the year | | Cumulative shareholding during the year | |
|-------|---|---|----------------------------------|---|----------------------------------|
| | | Number of shares | % of total shares of the Company | Number of shares | % of total shares of the Company |
| 1 | V-Sciences Investments Pte Ltd | | | | |
| | At the beginning of the year | 83,20,805 | 9.71 | | |
| | No change during the year | - | - | - | - |
| | At the end of the year | | | 83,20,805 | 9.57 |
| 2 | Franklin India Smaller Companies Fund | | | | |
| | At the beginning of the year | 57,90,082 | 6.76 | | |
| | Purchase on 07.04.2017 | 5,052 | 0.01 | 57,95,134 | 6.76 |
| | Sale on 21.04.2017 | 53,837 | 0.06 | 57,41,297 | 6.7 |
| | Sale on 28.04.2017 | 13,141 | 0.02 | 57,28,156 | 6.68 |
| | Sale on 09.06.2017 | 62,133 | 0.07 | 56,66,023 | 6.61 |
| | Sale on 16.06.2017 | 3,769 | 0 | 56,62,254 | 6.61 |
| | Sale on 30.06.2017 | 39,515 | 0.05 | 56,22,739 | 6.56 |
| | Sale on 02.02.2018 | 8,33,645 | 0.96 | 47,89,094 | 5.51 |
| | Sale on 09.02.2018 | 5,00,000 | 0.58 | 42,89,094 | 4.94 |
| | Sale on 16.02.2018 | 5,00,000 | 0.58 | 37,89,094 | 4.36 |
| | At the end of the year | | | 37,89,094 | 4.36 |
| 3 | Buena Vista Asian Opportunities Master Fund Ltd | | | | |
| | At the beginning of the year | - | - | | |
| | Purchase on 30.06.2017 | 3,17,031 | 0.37 | 3,17,031 | 0.37 |
| | Purchase on 14.07.2017 | 16,29,364 | 1.9 | 19,46,395 | 2.27 |
| | Purchase on 21.07.2017 | 3,482 | 0 | 19,49,877 | 2.27 |
| | Purchase on 18.08.2017 | 3,840 | 0 | 19,53,717 | 2.28 |
| | Purchase on 15.09.2017 | 4,811 | 0.01 | 19,58,528 | 2.29 |
| | Purchase on 22.09.2017 | 28,335 | 0.03 | 19,86,863 | 2.32 |
| | Purchase on 29.09.2017 | 3,000 | 0 | 19,89,863 | 2.32 |
| | Purchase on 27.10.2017 | 3,50,000 | 0.41 | 23,39,863 | 2.73 |
| | Purchase on 31.10.2017 | 2,69,198 | 0.31 | 26,09,061 | 3.04 |
| | Purchase on 03.11.2017 | 7,50,000 | 0.87 | 33,59,061 | 3.92 |
| | Purchase on 22.12.2017 | 107 | 0 | 33,59,168 | 3.92 |
| | At the end of the year | | | 33,59,168 | 3.92 |
| 4 | Sundaram Mutual Fund A/C | | | | |
| | At the beginning of the year | 54,90,474 | 6.4 | | |
| | Purchase on 07.04.2017 | 23,805 | 0.03 | 55,14,279 | 6.43 |
| | Purchase on 19.05.2017 | 512 | 0 | 55,14,791 | 6.43 |
| | Purchase on 26.05.2017 | 3,157 | 0 | 55,17,948 | 6.44 |
| | Purchase on 02.06.2017 | 4,481 | 0 | 55,22,429 | 6.44 |
| | Sale on 03.11.2017 | 15,000 | 0.02 | 55,07,429 | 6.46 |
| | Purchase on 10.11.2017 | 2,190 | 0 | 55,09,619 | 6.46 |
| | Purchase on 10.11.2017 | 1,960 | 0 | 55,11,579 | 6.46 |
| | Sale on 22.12.2017 | 73,577 | 0.08 | 54,38,002 | 6.29 |
| | Purchase on 29.12.2017 | 73,577 | 0.08 | 55,11,579 | 6.39 |
| | Sale on 26.01.2018 | 10,000 | 0.01 | 55,01,579 | 6.37 |

| SI No | Name of the shareholder | Shareholding at the beginning of the year | | Cumulative shareholding during the year | |
|-------|--|---|----------------------------------|---|----------------------------------|
| | | Number of shares | % of total shares of the Company | Number of shares | % of total shares of the Company |
| | Sale on 26.01.2018 | 50,556 | 0.06 | 54,51,023 | 6.31 |
| | Sale on 02.02.2018 | 5,657 | 0.01 | 54,45,366 | 6.3 |
| | Sale on 02.02.2018 | 8,000 | 0.01 | 54,37,366 | 6.26 |
| | Sale on 02.02.2018 | 3,547 | 0 | 54,33,819 | 6.25 |
| | Sale on 02.02.2018 | 1,73,021 | 0.2 | 52,60,798 | 6.05 |
| | Sale on 02.02.2018 | 1,000 | 0 | 52,59,798 | 6.05 |
| | Sale on 02.02.2018 | 879 | 0 | 52,58,919 | 6.05 |
| | Sale on 02.02.2018 | 624 | 0 | 52,58,295 | 6.05 |
| | Sale on 02.02.2018 | 559 | 0 | 52,57,736 | 6.05 |
| | Sale on 09.02.2018 | 53 | 0 | 52,57,683 | 6.05 |
| | Sale on 09.02.2018 | 7,00,480 | 0.81 | 45,57,203 | 5.24 |
| | Sale on 16.02.2018 | 5,679 | 0.01 | 45,51,524 | 5.24 |
| | At the end of the year | | | 45,51,524 | 5.24 |
| 5 | International Finance Corporation | | | | |
| | At the beginning of the year | 43,58,705 | 5.09 | | |
| | No change during the year | - | - | - | - |
| | At the end of the year | | | 43,58,705 | 5.02 |
| 6 | HDFC Standard Life Insurance Company Limited | | | | |
| | At the beginning of the year | 27,29,336 | 3.18 | | |
| | Purchase on 07.04.2017 | 1,853 | 0 | 27,31,189 | 3.18 |
| | Sale on 21.04.2017 | 1,132 | 0 | 27,30,057 | 3.18 |
| | Sale on 05.05.2017 | 2,371 | 0 | 27,27,686 | 3.18 |
| | Sale on 26.05.2017 | 26,88,374 | 3.14 | 39,312 | 0.04 |
| | Sale on 26.05.2017 | 39,312 | 0.04 | 0 | 0 |
| | Purchase on 26.05.2017 | 39,312 | 0.04 | 39,312 | 0.04 |
| | Purchase on 26.05.2017 | 26,88,374 | 3.14 | 27,27,686 | 3.18 |
| | Sale on 30.06.2017 | 556 | 0 | 27,27,130 | 3.18 |
| | Purchase on 30.06.2017 | 286 | 0 | 27,27,416 | 3.18 |
| | Sale on 14.07.2017 | 906 | 0 | 27,26,510 | 3.18 |
| | Purchase on 21.07.2017 | 1,449 | 0 | 27,27,959 | 3.18 |
| | Sale on 21.07.2017 | 1,197 | 0 | 27,26,762 | 3.18 |
| | Purchase on 22.09.2017 | 1,169 | 0 | 27,27,931 | 3.18 |
| | Purchase on 06.10.2017 | 8,353 | 0.01 | 27,36,284 | 3.19 |
| | Sale on 03.11.2017 | 41,976 | 0.05 | 26,94,308 | 3.14 |
| | Purchase on 17.11.2017 | 651 | 0 | 26,94,959 | 3.14 |
| | Purchase on 24.11.2017 | 6,309 | 0.01 | 27,01,268 | 3.15 |
| | Sale on 01.12.2017 | 960 | 0 | 27,00,308 | 3.15 |
| | Sale on 08.12.2017 | 3,069 | 0 | 26,97,239 | 3.15 |
| | Purchase on 15.12.2017 | 2,353 | 0 | 26,99,592 | 3.15 |
| | Sale on 29.12.2017 | 7,188 | 0.01 | 26,92,404 | 3.1 |
| | Sale on 29.12.2017 | 1,996 | 0 | 26,90,408 | 3.1 |
| | Sale on 12.01.2018 | 1,575 | 0 | 26,88,833 | 3.1 |
| | Sale on 19.01.2018 | 5,952 | 0.01 | 26,82,881 | 3.09 |

| SI No | Name of the shareholder | Shareholding at the beginning of the year | | Cumulative shareholding during the year | |
|-------|--|---|----------------------------------|---|----------------------------------|
| | | Number of shares | % of total shares of the Company | Number of shares | % of total shares of the Company |
| | Purchase on 26.01.2018 | 754 | 0 | 26,83,635 | 3.09 |
| | Sale on 02.02.2018 | 4,380 | 0.01 | 26,79,255 | 3.08 |
| | Sale on 23.02.2018 | 1,96,399 | 0.23 | 24,82,856 | 2.86 |
| | Sale on 02.03.2018 | 141 | 0 | 24,82,715 | 2.86 |
| | Purchase on 16.03.2018 | 30,000 | 0.03 | 25,12,715 | 2.89 |
| | Purchase on 23.03.2018 | 60,971 | 0.07 | 25,73,686 | 2.96 |
| | At the end of the year | | | 25,73,686 | 2.96 |
| 7 | First State Indian Subcontinent Fund | | | | |
| | At the beginning of the year | 10,80,258 | 1.26 | | |
| | Purchase on 28.04.2017 | 9,66,691 | 1.13 | 20,46,949 | 2.39 |
| | Purchase on 04.08.2017 | 2,54,474 | 0.3 | 23,01,423 | 2.69 |
| | Purchase on 18.08.2017 | 9,705 | 0.01 | 23,11,128 | 2.7 |
| | Purchase on 25.08.2017 | 1,65,781 | 0.19 | 24,76,909 | 2.89 |
| | Purchase on 08.09.2017 | 23,026 | 0.03 | 24,99,935 | 2.92 |
| | Purchase on 15.09.2017 | 69,778 | 0.08 | 25,69,713 | 3 |
| | Purchase on 22.09.2017 | 32,935 | 0.04 | 26,02,648 | 3.04 |
| | Purchase on 29.09.2017 | 1,11,926 | 0.13 | 27,14,574 | 3.17 |
| | Purchase on 06.10.2017 | 50,401 | 0.06 | 27,64,975 | 3.23 |
| | Purchase on 29.12.2017 | 3,15,915 | 0.36 | 30,80,890 | 3.54 |
| | At the end of the year | | | 30,80,890 | 3.54 |
| 8 | The Pabrai Investment Fund li, Lp | | | | |
| | At the beginning of the year | - | - | | |
| | Purchase on 02.02.2018 | 1,30,543 | 0.15 | 1,30,543 | 0.15 |
| | Purchase on 09.02.2018 | 6,51,372 | 0.75 | 7,81,915 | 0.9 |
| | Purchase on 23.02.2018 | 15,32,596 | 1.76 | 23,14,511 | 2.66 |
| | Purchase on 02.03.2018 | 1,67,265 | 0.19 | 24,81,776 | 2.85 |
| | Purchase on 09.03.2018 | 1,02,055 | 0.12 | 25,83,831 | 2.97 |
| | At the end of the year | | | 25,83,831 | 2.97 |
| 9 | The Scottish Oriental Smaller Companies Trustplc | | | | |
| | At the beginning of the year | 8,75,524 | 1.02 | | |
| | Purchase on 07.04.2018 | 2,50,000 | 0.29 | 11,25,524 | 1.31 |
| | Purchase on 28.04.2017 | 10,77,493 | 1.26 | 22,03,017 | 2.57 |
| | Purchase on 04.08.2017 | 2,56,107 | 0.3 | 24,59,124 | 2.87 |
| | Purchase on 18.08.2017 | 9,768 | 0.01 | 24,68,892 | 2.88 |
| | At the end of the year | | | 24,68,892 | 2.88 |
| 10 | Wellington Trust Company, National Association Mul | | | | |
| | At the beginning of the year | - | - | | |
| | Purchase on 30.06.2017 | 27 | 0 | 27 | 0 |
| | Purchase on 14.07.2017 | 5,852 | 0.01 | 5,879 | 0.01 |
| | Purchase on 21.07.2017 | 19,601 | 0.02 | 25,480 | 0.03 |
| | Purchase on 28.07.2017 | 3,57,631 | 0.42 | 3,83,111 | 0.45 |
| | Purchase on 04.08.2017 | 13,523 | 0.01 | 3,96,634 | 0.46 |
| | Purchase on 11.08.2017 | 755 | 0 | 3,97,389 | 0.46 |

| Sl No | Name of the shareholder | Shareholding at the beginning of the year | | Cumulative shareholding during the year | |
|-------|-------------------------|---|----------------------------------|---|----------------------------------|
| | | Number of shares | % of total shares of the Company | Number of shares | % of total shares of the Company |
| | Purchase on 18.08.2017 | 6,535 | 0.01 | 4,03,924 | 0.47 |
| | Purchase on 25.08.2017 | 2,07,768 | 0.24 | 6,11,692 | 0.71 |
| | Purchase on 01.09.2017 | 1,28,347 | 0.15 | 7,40,039 | 0.86 |
| | Purchase on 08.09.2017 | 42,795 | 0.05 | 7,82,834 | 0.91 |
| | Purchase on 15.09.2017 | 1,79,972 | 0.21 | 9,62,806 | 1.12 |
| | Purchase on 22.09.2017 | 1,02,742 | 0.12 | 10,65,548 | 1.24 |
| | Purchase on 29.09.2017 | 75,016 | 0.09 | 11,40,564 | 1.33 |
| | Purchase on 06.10.2017 | 15,549 | 0.02 | 11,56,113 | 1.35 |
| | Purchase on 13.10.2017 | 2,84,670 | 0.33 | 14,40,783 | 1.68 |
| | Purchase on 20.10.2017 | 1,570 | 0 | 14,42,353 | 1.68 |
| | Purchase on 27.10.2017 | 4,44,354 | 0.52 | 18,86,707 | 2.2 |
| | Purchase on 31.10.2017 | 19,659 | 0.02 | 19,06,366 | 2.22 |
| | Purchase on 03.11.2017 | 4,767 | 0.01 | 19,11,133 | 2.23 |
| | Purchase on 10.11.2017 | 22,571 | 0.03 | 19,33,704 | 2.26 |
| | Purchase on 24.11.2017 | 58,602 | 0.06 | 19,92,306 | 2.32 |
| | Purchase on 29.12.2017 | 44,590 | 0.05 | 20,36,896 | 2.34 |
| | Purchase on 05.01.2018 | 38,818 | 0.04 | 20,75,714 | 2.39 |
| | Purchase on 19.01.2018 | 2,08,891 | 0.24 | 22,84,605 | 2.63 |
| | At the end of the year | | | 22,84,605 | 2.63 |

v) Shareholding of Directors and Key Managerial Personnel:

| Sl. No. | Date of Acquisition/(Transfer) | Particulars | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|---------|--|------------------------------|---|----------------------------------|---|----------------------------------|
| | | | No of shares | % of total shares of the Company | No of shares | % of total shares of the Company |
| 1 | Dr. B.S Ajaikumar - Director | At the beginning of the year | 1,76,42,739 | 20.58 | | |
| | | At the end of the year | | | 1,76,42,739 | 20.3 |
| 2 | Gangadhara Ganapati - Director 23.03.2018 | At the beginning of the year | 23,07,780 | 2.69 | | |
| | | Transfer of shares | 1,610 | 0 | 23,06,170 | 2.65 |
| | | At the end of the year | | | 23,06,170 | 2.65 |
| 3 | Sunu Manuel - KMP | At the beginning of the year | 17,550 | 0.02 | | |
| | | At the end of the year | | | 17,550 | 0.02 |
| 4 | Dr. B S. Ramesh – Director | At the beginning of the year | 2,62,356 | 0.31 | | |
| | | At the end of the year | | | 2,62,356 | 0.3 |
| 5 | S. T. Ramesh – Director | At the beginning of the year | 455 | 0 | | |
| | | At the end of the year | | | 455 | 0 |

V) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

| Particulars | Secured Loans excluding deposits | Unsecured Loans | Deposit | Total Indebtedness |
|--|----------------------------------|-----------------------|----------|-----------------------|
| Indebtedness at the beginning of the financial year | | | | |
| i) Principal Amount | 186,16,16,642 | 234,04,43,430 | - | 420,20,60,072 |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | 351,92,832 | 7,15,76,564 | - | 10,67,69,396 |
| Total (i+ii+iii) | 189,68,09,474 | 241,20,19,994 | - | 430,88,29,468 |
| Change in Indebtedness during the financial year | | | | |
| * Addition | 52,24,72,101 | | - | 52,24,72,101 |
| * Reduction | -72,82,81,327 | -136,13,92,070 | - | -208,96,73,397 |
| Net Change | -20,58,09,226 | -136,13,92,070 | - | -156,72,01,296 |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 165,58,07,416 | 97,90,51,360 | - | 263,48,58,776 |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | 670,87,028 | 5,44,17,261 | - | 12,15,04,288 |
| Total (i+ii+iii) | 17228,94,444 | 10334,68,621 | - | 275,63,63,065 |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| Sl. No. | Particulars of Remuneration | Name of MD/WTD/ Manager | Total Amount |
|----------------------------|---|-------------------------|--------------------|
| Dr. B. S. Ajaikumar | | | |
| 1 | Gross salary | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 1,90,00,000 | 1,90,00,000 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | Nil | Nil |
| | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961 | Nil | Nil |
| 2 | Stock Option | Nil | Nil |
| 3 | Sweat Equity | Nil | Nil |
| 4 | Commission | Nil | Nil |
| | - as % of profit | | |
| | - others, specify... | | |
| 5 | Others, please specify – Variable remuneration | # 64,46,695 | # 64,46,695 |
| | Total (A) | 2,54,46,695 | 2,54,46,695 |
| | Ceiling as per the Act | ^2,45,18,812 | ^2,45,18,812 |

This includes remuneration to be paid, subject to the approval of the Central Government.

^ Managerial Remuneration in Case of Absence or Inadequacy of Profits in terms of Section 197 read with Schedule V to the Companies Act, 2013

B. Remuneration to other directors

| Sl. No. | Particulars of Remuneration | Names of Directors | | | | | Total Amount |
|---------|---|-----------------------------|--------------------------|---------------------------|------------------------------|-----------------------|---------------------|
| | Independent Directors | Dr. Sudhakar Rao | Shanker Annaswamy | Suresh C. Senapaty | Dr. Sampath T. Ramesh | Bhushani Kumar | |
| | Fee for attending board/ committee meeting* | 850,000 | 1,500,000 | 1,500,000 | 850,000 | 600,000 | |
| | Commission | Nil | Nil | Nil | Nil | Nil | |
| | Others, please specify | Nil | Nil | Nil | Nil | Nil | |
| | Total (1) | 850,000 | 1,500,000 | 1,500,000 | 850,000 | 600,000 | 5,300,000 |
| | Other Non-Executive Directors | Gangadhara Ganapathi | Dr. B. S. Ramesh | Dr. Amit Varma | | | |
| | Fee for attending board/ committee meetings | Nil | Nil | Nil | | | |
| | Commission | Nil | Nil | Nil | | | |
| | Others | Nil | Nil | Nil | | | |
| | Total (2) | Nil | Nil | Nil | | | |
| | Total (B)=(1+2) | Nil | Nil | Nil | | | 5,300,000 |
| | Total Managerial Remuneration | | | | | | 3,07,46,695 |
| | Overall Ceiling as per the Act | | | | | | ^2,45,18,812 |

^ Managerial Remuneration in Case of Absence or Inadequacy of Profits in terms of Section 197 read with Schedule V to the Companies Act, 2013

* Excluding Tax

C. Remuneration to key managerial personnel other than MD/Manager/WTD

| Sl. No. | Particulars of Remuneration | Key Managerial Personnel | | | |
|---------|---|--------------------------|------------------|-------------------|-------------------|
| | | #CEO | CS | CFO | Total |
| 1 | Gross salary | | | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | - | 35,54,815 | 104,23,256 | 139,78,071 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | - | 17,100 | 85,675 | 1,02,775 |
| | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | - | Nil | Nil | Nil |
| 2 | Stock Option | - | Nil | Nil | Nil |
| 3 | Sweat Equity | - | Nil | Nil | Nil |
| 4 | Commission | - | Nil | Nil | Nil |
| | - as % of profit | - | Nil | Nil | Nil |
| | - others, specify... | - | Nil | Nil | Nil |
| 5 | Others, please specify - Employers contribution to Provident Fund | - | 2,26,613 | 3,76,200 | 6,02,813 |
| | Total | - | 37,98,528 | 108,85,131 | 146,83,659 |

In the above table, remuneration paid to Dr. B. S. Ajaikumar, Chairman & CEO is not included, since the said remuneration has already been included in remuneration paid to Whole-time Director under (A) above.

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL

| Type | Section of the Companies Act | Brief Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/COURT] | Appeal made, if any (give details) |
|-------------------------------------|------------------------------|-------------------|---|-----------------------------|------------------------------------|
| A. Company | | | | | |
| Penalty | | | | | |
| Punishment | | | | NIL | |
| Compounding | | | | | |
| B. DIRECTORS | | | | | |
| Penalty | | | | | |
| Punishment | | | | NIL | |
| Compounding | | | | | |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | | | | | |
| Punishment | | | | NIL | |
| Compounding | | | | | |

For HealthCare Global Enterprises Limited

Date : May 22, 2018
Place: Bengaluru

Dr. B. S. Ajaikumar
Chairman & CEO